PTO/SB/08B (08-03)
Approved for use through 07/31/2008. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known trute for form 1449/PTO Applicati n Numb r 10/635,721 Filing Date INFORMATION DISCLOSURE August 5, 2003 STATEMENT BY APPLICANT First Named Inventor Thomas M. BAER Art Unit Not yet assigned (Use as many sheets as necessary) **Examiner Name** Not yet assigned Sheet Attorney Docket Number 1 ARC012000107 of

Examiner	Cite	NON PATENT LITERATURE DOCUMENTS	
Initials*	No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
TV	1	NISHIOKA M ET AL., "Micro manipulation of cells and DNA molecules", Journal of Electrostatics, July 1, 1995, pages 83-91, vol. 35, no. 1, Elsevier Science Publishers B. V. Amsterdam, NI.	~

Examiner Signature	<i>N</i>	Date Considered	2/3/04
REVALUATED. I	145-1-16		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Form PTO-1449

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

Oneet 1							
Docket Number ARC012000107	Application Number 10/635,721						
Applicant							
Thoma	as M. BAER et al.						
Filing Date August 5, 2003	Group Art Unit 2877						

U.S. PATENT DOCUMENTS

Mailing Date October 23, 2003

Examiner Initials			Document No.	Name	Class	Subclass	Filing Date If Appropriate
				ļ	<u> </u>		
TN	1.	08/01/1972	3,680,947	Wanesky	350	81	04/21/1970
	2.	12/12/1972	3,705,769	Johannsmeier	355	91	11/12/1970
	3.	11/19/1974	3,848,962	Nelson	350	86	10/18/1973
	4.	07/01/1980	4,210,384	Meyer et al.	350	19	05/17/1979
	5.	12/01/1981	4,303,866	Porro et al.	250	442	05/29/1980
_, _	6.	06/08/1982	4,333,983	Allen	428	336	04/25/1980
	7.	03/13/1984	4,436,385	Fischer et al.	350	529	07/24/1981
	8.	04/02/1985	4,508,435	Graham et al.	350	529	06/18/1982
	9.	04/09/1985	4,509,834	Hodgson	350	521	03/24/1982
	10.	09/03/1985	4,538,885	Graham et al.	350	529	06/18/1982
	11.	11/12/1985	4,552,033	Marzhauser	74	479	04/12/1984
	12.	07/15/1986	4,600,282	Yamamura et al.	353	122	11/05/1984
	13.	09/30/1986	4,614,431	Komeyama	356	401	02/16/1984
	14.	11/18/1986	4,623,839	Garretson et al.	324	158	09/15/1983
	15.	12/02/1986	4,627,009	Holmes et al.	364	559	05/24//1983
	16.	06/16/1987	4,673,261	Hunt et al.	350	531	05/16/1985
	17.	08/04/1987	4,684,781	Frish et al.	219	121	01/29/1985
	18.	10/27/1987	4,702,565	Schilling et al.	350	531	02/26/1986
	19.	03/15/1988	4,731,530	Mikan	250	229	04/21/1986
	20.	02/28/1989	4,807,984	Kurimura et al.	350	529	02/12/1987
	21.	04/25/1989	4,824,229	Narita et al.	350	531	04/09/1986
	22.	06/06/1989	4,836,667	Ozeki	350	531	05/04/1987
	23.	08/01/1989	4,852,985	Fujihara et al.	350	523	10/16/1987
	24.	08/15/1989	4,856,873	Kleinberg	350	502	05/15/1987
	25.	10/03/1989	4,871,245	Ishikawa et al.	350	502	10/26/1987
	26.	04/24/1990	4,920,053	Inoue et al.	435	240.1	04/28/1988

EXAMINER: \mathcal{D} DATE CONSIDERED: 2/3/04

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Docket Number ARC012000107 Form PTO-1449 Application Number 10/635,721 Applicant INFORMATION DISCLOSURE CITATION Thomas M. BAER et al. IN AN APPLICATION (Use several sheets if necessary) Filing Date August 5, 2003 Group Art Unit 2877 Mailing Date October 23, 2003 27. 05/08/1990 4,923,294 Courtenay 350 529 10/20/1988 28. 10/23/1990 4,964,708 Mason 350 519 07/14/1989 29. Williams et al. 01/22/1991 4,987,006 427 53.1 03/26/1990 30. 02/12/1991 Kobavashi 250 306 4,992,660 06/25/1990 31. 05/21/1991 5,017,428 Mecke et al. 428 336 06/13/1989 32. 09/01/1992 5,143,552 Moriyama 666 118 06/10/1991 33. 07/09/1991 5,029,791 Ceccon et al. 428 287 03/08/1990 Nomura et al. 34. 10/15/1991 5,057,689 250 310 09/17/1990 35. 12/31/1991 5,077,620 Mauro 359 393 06/06/1990 5,089,909 36. 02/18/1992 Kleinberg 359 363 09/27/1990 37. 04/07/1992 5,103,338 Crowley et al. 359 394 10/04/1990 38. 06/30/1992 5,126,877 Biber 359 389 09/04/1991 39. Favro et al. 359 11/10/1992 5,162,941 386 07/23/1991 40. 74 479 11/24/1992 5,165,297 Krueger 02/15/1991 41. 12/22/1992 Heller 359 384 5,173,802 09/16/1991 42. 12/22/1992 Heller 384 5,173,803 359 09/16/1992 43. 359 10/12/1993 5,253,110 Ichihara et al. 619 11/24/1992 44. 11/16/1993 Nakasato 5,262,891 359 385 04/24/1992 45. 11/23/1993 74 479 5,263,384 Suzuki 02/18/1992 46. 01/18/1992 Shibasaki 5,280,384 359 396 09/18/1992 47. 02/22/1994 5,288,996 Betzig et al. 227.26 250 01/06/1993 48. 03/22/1994 Murakami et al. 359 389 5,296,963 02/27/1992 49. 03/29/1994 5,298,963 Moriya et al. 356 31 02/26/1992 50. 05/17/1994 Mastel 5,312,393 606 12/31/1992 51. 250 06/21/1994 5,323,009 Harris 458.1 04/05/1991 52. 08/09/1994 5,337,178 Kung et al. 359 393 12/23/1992 53. 09/06/1994 Greenberg 5,345,333 359 389 04/19/1991 10/18/1994 54. 5,357,366 Marchlenski 393 359 08/30/1993 55. 10/25/1994 Muller et al. 5,359,417 356 375 10/15/1992 56. 11/22/1994 5,367,401 Saulietis 359 398 11/23/1990 57. 01/03/1995 5,378,675 Takeyama et al. 227 503 11/30/1992 DATE CONSIDERED: **EXAMINER:** 2/3/04 EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in

conformance and not considered. Include a copy of this form with next communication to applicant.

PTO/SB/ 08 (2-92)

Form PTO-1449				Docket Number ARC012000107		Application	Application Number 10/635,721	
INFORMATION DISCLOSURE CITATION				Applicant	· · · · · · · · · · · · · · · · · · ·	<u>.</u>		
IN AN APPLICATION (Use several sheets if necessary)				Thomas M. BAER et al.				
				Filing Date August 5, 2003 Group Art Unit 2877				
				Mailing Date October	23, 2003			
<i>0</i>	-7	<u> </u>			L			
TW	<i>5</i> 8.	01/31/1995	5,386,112	Dix	on	250	234	12/23/1992
	59.	02/28/1995	5,393,647	Neı	ikernans et al.	430	320	07/16/1993
	60.	04/04/1995	5,403,970	Aol	ci	84	626	11/19/1990
	61.	05/02/1995	5,412,503	Nec	lerlof	359	393	08/23/1993
	62.	05/30/1995	5,420,716	Fuk	aya	359	368	06/29/1992
	63.	07/18/1995	5,434,703	Mo	rizumi	359	385	11/02/1993
1	64.	09/12/1995	5,450,233	Yar	namoto et al.	359	368	04/27/1993
	65.	10/03/1995	5,455,420	Ho	et al.	250	306	07/12/1994
	66.	11/21/1995	5,468,967	Cha	n et al.	250	397	08/26/1994
	67.	11/28/1995	5,471,260	Luc	e et al.	351	205	10/28/1994
	68.	12/26/1995	5,479,252	Wo	rster et al.	356	237	06/17/1993
	69.	02/20/1996	5,492,861	Оро	wer	437	173	08/26/1993
	70.	04/02/1996	5,504,366	We	iss et al.	73	863	09/13/1993
	71.	04/09/1996	5,506,725	Koi	ke et al.	359	388	12/28/1994
	72.	04/23/1996	5,510,615	Ho	et al.	250	306	060/07/1995
	73.	05/14/1996	5,517,353	Ikol	n et al.	359	388	05/24/1994
	74.	07/02/1996	5,532,128	Egg	ers et al.	435	16	12/12/1994
	75.	07/02/1996	5,532,476	Mik	an	250	221	12/21/1994
	76.	07/02/1996	5,532,873	Dix	on	359	388	09/08/1993
	77.	07/09/1996	5,535,052	Jorg	gens	359	388	07/14/1993
	78.	07/16/1996	5,536,941	Swa	inn	250	311	02/22/1995
	79.	07/23/1996	5,537,863	Fuji	u et al.	73	105	07/15/1994
	80.	09/03/1996	 	<u> </u>	uhashi et al.	359	379	12/16/1993
	81.	09/17/1996	5,556,790	Pett	it	436	172	12/05/1994
	82.	09/17/1996	5,557,456	Gar	ner et al.	359	393	03/04/1994
	83.	09/24/1996	5,558,329	Liu		273	148	03/01/1995
	84.	09/24/1996	5,559,329	Jose	ph et al.	250	306	08/31/1994
	85.	11/26/1996	5,578,832	Tru	lson et al.	250	458.1	09/02/1994
	86.	12/24/1996	5,587,748	Luc	e et al.	351	208	10/28/1994
	87.	12/24/1996	5,587,833	Kan	nentsky	359	393	07/09/1993
	88.	02/04/1997	5,598,888	Sull	ivan et al.	165	263	09/23/1994
EXAMI	EXAMINER: TW				DATE CONSIDERED: 2/3/04			
EXAMIN conforma	nce and	itial if citation con not considered. In	sidered, whether or not the c sclude a copy of this form w	itatio	on conforms with MPI ext communication to	EP 609. Draw applicant.		<u></u>

Form PTO-1449				Docket Number ARC01	Application Number 10/635,721					
INFORMATION DISCLOSURE CITATION				Applicant						
The second secon					Thomas M. BAER et al.					
Sulse several sheets if necessary)					Filing Date August 5, 2003 Group Art Unit 2877					
					Mailing Date October 2	3, 2003				
<u> </u>	<u>~</u>	- NS								
1	7	89.	02/11/1997	5,602,674	Wei	issman et al.	359	393	06/16/19	995
		90.	04/08/1997	5,619,035	Wei	iss et al.	250	306	12/22/19	995
		91.	04/15/1997	5,621,207	O,V	/lara	250	221	08/29/19	994
		92.	05/20/1997	5,631,734	Ster	n et al.	356	317	02/10/19	994
		93.	06/10/1997	5,638,206	Sun	niya et al.	359	368	09/29/19	994
		94.	08/19/1997	5,659,421	Rah	mel et al.	359	391	07/05/19	995
		95.	06/24/1997	5,641,896	Kar	rai	73	105	02/27/19	995
								·		
				FOREIGN P	ATI	ENT DOCUMEN	TS			
	miner	Ref Date	Date	Document No.		Country	Class	Subclass	Trans	slation
` I ni	tials	No.		,					YES	NO
	√	96.	08/29/1975	566 015	СН					
· /	1	97.	05/30/1991	WO 91/07683	PCT	•				
	ļ						_			
	 	98.	09/08/1995	WO 95/23960	PCT			ļ		ļ
	 	99.	11/16/1995	WO 95/30919	PCT			 		
	ļ	100.	04/17/1997	WO 97/13838	PC7					
	 	101.	08/14/1997	DE 196 03 996A1	DE					No
	·	102.	08/13/1998	WO 98/35216	PCT				<u> </u>	L
				OTHE	R DO	DCUMENTS	(includ	ing author, title, De	ate, Pertinent	Pages, Etc.)
	miner tials	Ref	Title							
шп	uais	No.								
		103.	Anonymous. P	rintout from Ebay we	bsite	providing photog	raphs of a m	icroscope, av	ailable at	
T	$\mathcal{N} \mid$		<http: cgi.ebay<="" td=""><td>v.com/aw-cgi/eBayIS</td><td>API.</td><td>dll?ViewItem&iter</td><td>n=10338579</td><td>903> on Janua</td><td>ry 15, 200</td><td>02 (5 .</td></http:>	v.com/aw-cgi/eBayIS	API.	dll?ViewItem&iter	n=10338579	903> on Janua	ry 15, 200	02 (5 .
		10.1	pages total).							
		104.	Ashkin, A. & D Acad. Sc. 86:2	Ashkin, A. & Dziedzic J.M. (1989) "Internal Cell Manipulation Using Infrared Laser Traps" Pro.Nat. Acad. Sc. 86:20:7914-7918.						
		105.	Bonner, R.F., e Assoc. Advanc	Bonner, R.F., et al. (1997) "Laser Capture Microdissection: Molecular Analysis of Tissue", Amer. Assoc. Advanc. of Sci., 278:1481-1843.						
		106.	Emmert-Buck, Michael R. et al., (1996) "Laser Capture Microdissection", Science, 274:998-1001.							
+	107. Friend, T. (August 5, 1997) "Microdissection Breakthrough Lets Scientists Isolate Those Involved In									
E	XAMIN	VER:	TV	-	•	DATE CONS		2/3/0		
E.	XAMIN	ER: Ini	itial if citation consi	dered, whether or not the	citatio	n conforms with MPE	P 609. Draw a		·	ot in
	SB/ 08 (not considered. Inc	lude a copy of this form v	vith ne	· · · · · · · · · · · · · · · · · · ·	``	I O DDD - DDC -		
0/.	201 00 (-72)	•			Patent and Trade	mark Office; L	J.S. DEPARTME	INT OF CO	MMERCE

Form PTO-1449			Docket Number ARC012000107	Application Number 10/635,721				
INFORMATION DISCLOSURE CITATION			Applicant					
	Ø I	NAN APPLICATION	Thomas M. BAER et al.					
{		(Usaseveral sheets if necessary)	Filing Date August 5, 2003	Group Art Unit 2877				
10 8	y .		Mailing Date October 23, 2003	<u> </u>				
<u> </u>		y						
TN		Tumor Growth" USA Today 4D.						
- / 	108.		n of Plant Chromosomes by Are	on-ion Laser Beam"				
	100.	Theoretical and Applied Genetics, 84:1:2	2:787-791.					
	109.	Geduspan, Jane S., & Solursh, Michael (During Limb Outgrowth," Developmenta	1992) "A Growth-Promoting In	fluence from the Mesonephros				
	110.			ction " Applied Optics Vol. 37				
	1110.	No. 31, pp. 7378-7391.	ing of Laser Capture Microursse	ction, Applied Optics, vol. 37,				
	111.			eparative method." Journal				
	1,12	of Microsopy, Vol. 107, pt I pp. 19-24 Jimenez, C. R., et al., (1994) "Neurop	t.	ning on Dougolad by Direct				
	112.	Matrix-Assisted Laser Desorption Ion	nization Mass Spectrometry of	of Single Neurons" Journal				
	Ì	of Neurochemistry, 62:404-707.	inzation wass spectromeny o	Tonigle Hearons, Journal				
	113.	Kubo, Y., et al., (1995) "Early Detect	ion of Knudson's Two-hits in	Preneoplastic Renal Cells of				
		the Eker Rat Model by the Laser Mic	rodissection Procedure," Can	cer Res. 55:975-1197.				
•	114.	Kuska, Bob (1996). "New aim-and-sl Inst. 88(23):1708-1709.	hoot technique speeds up cell	analysis," J Natl. Cancer				
- 	115	Lewis, (1998) "Laser Aids Alzheimer	r's Study " Biophotonics Inter	national				
	1113.	November/December 1998	bludy, Biophotomes Inter	national,				
	116.		e laser in the Lowry Techn	ique for Microdissection of				
		Freeze-dried Tissue Slices", Histoche	mical J., pp. 8:387-401.	·				
	117.	Simone, N.L. et al. (1998). "Laser-Cato Molecular Analysis," Trends In Ge	apture Microdissection: Open enetics 14(7): 272-276.	ing the Microscopic Frontier				
	118.	Schutze, Karin & Lahr, Georgia (Au Mediated Manipulation of Single Cel	igust, 1998) "Identification of					
	119.							
		Dependent Cells Under Normal Grow	vth Conditions", Cytometry 6	5:368-374.				
	120.	Schindler, M. (August, 1998) "Select 720.	t, Microdissect, and Eject", N	ature Biotechnology 16:719-				
	121.	Veigel, C., et al. (1993) "New Cell Bi	ological Applications of the	Laser Microbeam Technique:				
•		the Microdissection and Skinning	of Muscle Fibers and the	Perforation and Fusion of				
		Sarcolemma Vesicles", European Jou	irnal of Cell Biology 63:1:140	J-148.				
1								
·								
EXAM	INER:	TV	DATE CONSIDERED:	2/3/04				
EXAMI	NER: In	itial if citation considered, whether or not the citation not considered. Include a copy of this form with not	on conforms with MPEP 609. Draw a l	ine through the citation if not in				
			to application					